

REC'D 23 JUL 2004

WIPO

PCT

PA 1188090

THE UNITED STATES OF AMERICA

TO ALL TO WHOM THESE PRESENTS SHALL COME:

UNITED STATES DEPARTMENT OF COMMERCE

United States Patent and Trademark Office

June 30, 2004

THIS IS TO CERTIFY THAT ANNEXED HERETO IS A TRUE COPY FROM THE RECORDS OF THE UNITED STATES PATENT AND TRADEMARK OFFICE OF THOSE PAPERS OF THE BELOW IDENTIFIED PATENT APPLICATION THAT MET THE REQUIREMENTS TO BE GRANTED A FILING DATE UNDER 35 USC 111.

APPLICATION NUMBER: 60/496,910

FILING DATE: August 22, 2003

Dk/04/422

PRIORITY DOCUMENT
SUBMITTED OR TRANSMITTED IN
COMPLIANCE WITH
RULE 17.1(a) OR (b)



By Authority of the
COMMISSIONER OF PATENTS AND TRADEMARKS

M. K. Hawkins
M. K. HAWKINS
Certifying Officer

BEST AVAILABLE COPY

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

08/25/2003 BSAYAS11 00000022 60496910

01 FC:1005

160.00 OP

PTO-1556
(5/87)

PROVISIONAL APPLICATION FOR PATENT COVER SHEET

This is a request for filing a PROVISIONAL APPLICATION FOR PATENT under 37 C.F.R. § 1.53 (c).

Filing Date		August 22, 2003		Docket No.		4614-0118P	
INVENTOR(s)/APPLICANT(s)							
Given Name (first and middle (if any))		Last Name		RESIDENCE (CITY AND EITHER STATE OR FOREIGN COUNTRY)			
Erik Finn Birk		ANDERSEN PEDERSEN		Roskilde, Denmark Stenløse, Denmark			
<input type="checkbox"/> Additional inventors are being named on the separately numbered sheets attached hereto							
TITLE OF THE INVENTION (280 characters max)							
A CONNECTOR FOR A HAEMOSTATIC VALVE ASSEMBLY							
CORRESPONDENCE ADDRESS							
Birch, Stewart, Kolasch & Birch, LLP or Customer No. 02292 P.O. Box 747 Falls Church							
STATE		VA		ZIP CODE		22040-0747	
				COUNTRY		U.S.A.	
ENCLOSED APPLICATION PARTS (check all that apply)							
<input checked="" type="checkbox"/> Specification		Number of Pages: 18		<input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76.			
<input checked="" type="checkbox"/> Drawing(s)		Number of Sheets: 5		<input type="checkbox"/> Other (specify):			
METHOD OF PAYMENT (check one)						PROVISIONAL FILING FEE	
<input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27.						<input type="checkbox"/> Small Entity (\$80.00)	
<input checked="" type="checkbox"/> A check or money order is enclosed to cover the Provisional filing fees.						<input checked="" type="checkbox"/> Large Entity (\$160.00)	
<input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge filing fees and credit Deposit Account Number 02-2448, if necessary.							

The invention was made by an agency of the United States Government or under a contract with an agency of the United States Government.

☒ No.

☐ Yes, the name of the U.S. Government agency and the Government contract number are:

Respectfully submitted,

BIRCH, STEWART, KOLASCH & BIRCH, LLP

By Joe McKinney Murcy
Joe McKinney Murcy, #32,334

Date: August 22, 2003

KM/asc
4614-0118P

P.O. Box 747
Falls Church, VA 22040-0747
(703) 205-8000

A CONNECTOR FOR A HAEMOSTATIC VALVE ASSEMBLY

Technical field

The present invention generally relates to the field of connectors for haemostatic valve assemblies, as used for example in angioplasty. An elongate member, such as a balloon catheter or a vascular stent, may be introduced into the vascular system of a living through the connector which incorporates a haemostatic valve for safe haemostasis. In particular, the present invention provides an improved interconnection between a proximal and a distal part of a main section of a connector of the aforementioned type, and a means for reducing the risk of causing damage to a device to be inserted into the vascular system through the valve.

10 The invention further provides improved closures for haemostatic valve assemblies.

Background of the invention

Access to the vascular system of a living, such as a cardiac patient, is required during endovascular procedures such as in angioplasty, e.g., for the introduction of balloon catheters or stent systems. Usually, access is provided via a connector which, e.g., provides a connection to a guiding catheter, the connector integrating a haemostatic valve to enable an elongate device to be introduced into the body of the living while providing safe haemostasis. A side arm may be provided as a part of such a connector in order to provide a connection to a manifold used for pressure monitoring, contrast media injection and/or saline flushing. Connectors with side arms are normally referred to as 'Y-connectors'. The haemostatic valve ensures that blood does not flow out of the connector while enabling a catheter, stent system or arteriotomy device to be passed through the connector. At the distal end of the connector there may be provided a rotatable luer for securing the connector to a corresponding member at the proximal end of a guide catheter.

US patent No. 5,195,980 (David G. Catlin), discloses a haemostatic valve comprised in a Y-connector. The haemostatic valve is incorporated in a proximal end of a main section of the connector, which comprises a rotatable luer at its distal end. A side arm joins the main section between the distal end and the haemostatic valve. There is further disclosed a resilient valve element including a normally closed slit which is arranged to be opened by an access tube being extended therethrough. Another example of a haemostatic valve is known from US patent No. 5,176,652 (Perry K. Littrell), the haemostatic valve of US '652 including two elastic and gaskets having slits capable of permitting an elongated member to extend therethrough, with the slits extending completely through the respective gaskets and the

gaskets being angularly displaced with respect to one another. US '652 and US patent No. 4,798,594 (Richard A. Hillstead) further disclose helically extending slits.

The art of coronary angioplasty is generally described in: *Coronary Angioplasty* by Bernhard Meier, published by Grune & Stratton, Inc., Harcourt Brace Jovanovich, Publishers, 1987.

5

Summary of the invention

- 10 In a first aspect, the present invention relates to a connector for a haemostatic valve assembly having a main section being manufactured from two separate, co-extending parts which are mutually interconnected or joined, the two parts being preferably made from a plastics material. When interconnected, the two parts should be able to withstand a certain pressure in a longitudinal passage extending inside and being defined by inner surfaces of the two parts, such as an injection pressure. It has been found that it is sometimes difficult to manufacture an essentially glued interconnection between such separate parts of a connector, as it may not be easy to accurately control the manufacturing process such that
- 15 the completed connector with certainty will be able to withstand a certain pressure. It is therefore desired to provide a connector for a haemostatic valve assembly comprising two separate parts, which connector does not rely on glue as the single or main means of interconnection of the two parts, while ensuring a relatively uncomplicated and cost efficient manufacturing process.
- 20 In a second aspect, the invention provides a connector for a haemostatic valve assembly, comprising a longitudinally extending main section with a valve at a proximal end thereof, the valve having an open state in which an elongate member may be inserted into the passage, and a closed state. Before introducing a device, e.g., a catheter or a drug-coated stent, through the connector and into the vascular system of a living, an operator, such as a
- 25 physician, should ensure that the valve is properly opened, as otherwise an outer surface of the catheter or stent risks to scrape against parts of the valve, with the result that the surface of the device is damaged or that accurately dosed drug provided on the surface of a drug-coated stent is lost. However, given exterior circumstances such as in particular psychological stress, an operator may sometimes not verify that the valve is in its open state
- 30 before attempting to introduce the device through the valve. Following an attempt to introduce the device through a closed valve, the operator may not always realise that drug has been scraped off the stent or that physical damage has been caused to a surface of the device, and he may, after having properly opened the valve, introduce the device, now, for example, damaged or with a wrong dose of drug on the surface thereof, into the vascular of

the patient. Such an incidence may seriously compromise the patient's health and does often result in the need for additional treatment and prolonged hospitalisation of the patient.

Accordingly, it is an object of preferred embodiments of the second aspect of the invention to provide a means for reducing the risk of causing damage to a device to be inserted into the
5 vascular system through the valve of a connector.

A third and a fourth aspect of the invention provide aspects of closures for a valve of a connector of a haemostatic valve assembly. The closures of the third and fourth aspects of the invention aim at providing an efficient sealing between a passage in the connector and a surrounding atmosphere, in particular a sealing at a proximal end of the connector while
10 allowing for easy introduction of a catheter or stent system through the closure. The closure of the third aspect of the invention has a closure member, a face of which abuts a proximal end surface of a main section of the connector. It is an object of the third aspect of the invention to provide a closure which includes a reliable seal at a periphery of the main section of the connector. The closure of the fourth aspect of the invention is made from a resilient
15 material and includes a slit which extends between two opposed surfaces. The slits are configured to provide a closure with reliable sealing when a catheter or stent system extends through the closure and further to provide a reliable closure when the valve is in a closed state, i.e. when no catheter or stent system extends through the closure.

With the aim of at least partially solving some of the above problems, the first aspect of the
20 present invention provides a connector for a haemostatic valve assembly, comprising a longitudinally extending main section having a proximal part and a distal part, each of said parts having a longitudinally extending, through-going passage, the connector further comprising connection means for providing a connection between the proximal part and the distal part, whereby, when interconnected, the distal and proximal parts coextend in the
25 longitudinal direction, the connection means comprising a projecting portion which is integral with one of said parts and which is adapted to engage a recessed portion of the other one of said parts, so as to mutually secure the parts in the longitudinal direction. Thus, thanks to the essentially mechanical connection between the proximal and the distal part, the connector may be designed to withstand a given internal pressure, which may accurately be
30 calculated based on specifications of the materials from which the two parts are made and on dimensions of the parts. In addition to the mechanical means provided at the interconnection, the interconnection may be reinforced by glue, though, in a presently preferred embodiment, the connector is assembled without glue. Preferably, the interconnection is formed as a snap-lock connection, e.g. a self securing snap-lock. In a
35 preferred embodiment of the invention, the projecting portion is a barbed portion. The barbed portion may, for example, be provided as a part of an outer periphery of a first one of the two parts, the dimensions of which allows it to at least partially surround an end portion

of a second one of the two parts. The surrounded part may thus provide a rim or a collar, e.g., at a transition between a small diameter section and a large diameter section thereof, which rim or collar the barbed portion may engage. The barbed portion preferably includes several barbs arranged along the periphery of the first part. In order to allow the barbed

5 portion of the first part to be slipped over the second part, the barbed portion may be flexible in a radial direction, whereas it is preferred that it is not, or at least less, flexible in the longitudinal direction. Such radial flexibility may be brought about by longitudinally extending slits provided in an end portion of the first part. In one embodiment of the invention, an end

10 portion of the distal part is adapted to receive an end portion of the proximal part, the barbed portion being provided at the proximal end portion of the distal part, the recessed portion comprising a collar portion, e.g. a sharp edged collar portion, provided on an outer surface of the proximal part. In other embodiments, the barbed portion may be provided at an end

portion of the proximal part, which may receive an end portion of the distal part.

In order to preclude blood and/or other liquids from flowing out of the connector at the

15 interconnection, there may be provided sealing means at the interconnection. Such sealing means may include a resilient member, such as an O-ring, which, when the proximal and distal parts are interconnected, is clamped between the two parts, for example such that it fits around and tightly closes an outer collar portion of an inner one of the two parts and such that it fits inside and tightly closes an inner collar portion of an outer one of the two parts.

20 The interconnection may be such that the distal part and the proximal part may rotate relative to each other around an axis extending in the longitudinal direction, such rotation being desired, e.g. if one or both of the two parts are provided with a threaded portion for engaging a thread of a corresponding member, such as of a guide catheter. In a preferred embodiment of the invention, the distal part constitutes a rotatable luer, so that there is no

25 need for manufacturing a luer as a separate part. A first threaded or grooved portion may be provided on an outer and possibly conical wall of the distal part, whereas a second threaded portion may be provided on an inner surface of the distal portion. In the latter case, the threaded portion may be provided between an annular wall surrounding the longitudinally extending passage through the connector and a surrounding outer wall of the distal part,

30 when seen in a radial direction.

The connector may be a Y-connector having a side arm, so as to provide a connection to a manifold used for pressure monitoring, contrast media injection and/or saline flushing. The side arm may be arranged to receive a tube which interconnects the side arm and a stopcock, such as a standard 3-way stopcock. The distal as well as the proximal parts of the

35 connector may be manufactured by injection-moulding of a plastics material.

It should be understood that the connector according to the first aspect of the invention, as generally discussed above, may also include the features of the second aspect of the invention.

There is also provided a method for manufacturing a connector for a haemostatic valve assembly, in particular for manufacturing a connector according to the first or second aspect of the invention, the connector comprising a longitudinally extending main section having a proximal part and a distal part, each of said parts having a longitudinally extending, through-going passage, the connector further comprising connection means for providing a connection between the proximal part and the distal part, whereby, when interconnected, the distal and proximal parts coextend in the longitudinal direction, the connection means comprising a projecting portion which is integral with one of said parts and which is adapted to engage a recessed portion of the other one of the parts, the method comprising the step of providing a snap-lock between the projecting portion and the recessed portion for mutually securing the parts in the longitudinal direction. Injection-moulding is an appropriate manufacturing process for the distal and the proximal part.

The invention also provides a new use of a snap-lock as an interconnection between a longitudinally extending proximal and a longitudinally extending distal part of a main section of a connector for a haemostatic valve assembly, each of said parts having a longitudinally extending, through-going passage, the snap-lock comprising a projecting portion which is integral with one of said parts and which is adapted to engage a recessed portion of the other one of said parts, so as to mutually secure the parts in the longitudinal direction. The connector may in particular be a connector according to the first or second aspect of the invention and may thus incorporate any feature of the connectors discussed above and below.

In order to reduce the risk of causing damage to a device to be inserted into the vascular system through the valve of a connector, and more specifically to reduce the risk of a drug being scraped off a drug-coated stent, the second aspect of the invention provides a connector for a haemostatic valve assembly, comprising a longitudinally extending main section having a longitudinally extending, through-going passage and a valve at a proximal end of the connector, the valve having an open state in which an elongate member may be inserted into the passage, and a closed state, the valve comprising an indicator for indicating the state of the valve. The indicator may provide an optical and/or a tactile feedback to an operator, so that the operator by looking at or by touching the valve may easily determine the state of the valve.

In a preferred embodiment, the valve includes a valve opener which is longitudinally displaceable along an outer surface of the main section of the connector, such that the state of the valve may be changed by displacing the valve opener in relation to the main section. The valve opener, or, in case of other embodiments, other displaceable means, may advantageously be arranged near the indicator which may comprise optical means for providing an optical appearance of at least a part of the connector in the open state which is different from an optical appearance of that part of the connector in the closed state. For example, the valve may comprise an elastomeric closure member, such as a silicone member, arranged to seal the proximal end of the connector in the closed state of the valve, the valve opener comprising a puncture member which extends co-axially with and at least partly inside said passage. The puncture member may be arranged such with respect to the closure member that it penetrates the closure member in the open state of the valve, the elastomeric closure member thereby closing about an outer surface of the puncture member, and such that it does not penetrate the closure member in the closed state of the valve. Such an embodiment of the valve is well suited for an embodiment of the valve opener which comprises a transparent portion and an opaque portion, and wherein the main section of the connector, at a proximal end thereof, comprises a coloured section which is covered by the opaque portion of the valve opener when the valve is in the open state, and which is visible through the transparent section when the valve is in the closed state. Thus, for example the coloured section may be clearly visible to the operator when the valve is in the closed state and completely hidden when the valve is in the open state. Accordingly, a superficial and rapid glance at the valve may allow the operator to determine the state of the valve.

Though not preferred, a so-called 'Touhy Borst' valve, which is known *per se*, and which comprises an elastomeric membrane having an opening through which the catheter extends and which is closed about the periphery of the catheter by rotation of a cap, may be provided as the haemostatic valve. However, from an ease-of-use point of view, the 'Touhy Borst' design has the disadvantage that it requires a separate introducer needle or tube to pass thorough the valve for opening the membrane, so that a catheter or stent can be introduced without damage. Therefore, as the introduction and common use of vascular stents, including balloon expandable stents and self-expanding stents, has resulted in increased attention to the friction in passing a device through the valve and to the need for maintaining a position of the stent on the balloon, so-called puncture valves have become more popular. Examples of such puncture valves are those described herein in connection with the preferred embodiments of the present invention, the valve disclosed in US patent No. 5,195,980, and the valve described in US patent No. 5,176,652.

It should be understood that the connector according to the second aspect of the invention, as generally discussed above, may also include the features of the first aspect of the

Invention. Embodiments of the connector comprising a side arm for connecting the connector to a manifold, i.e. so-called 'Y-connector' embodiments, may, according to the invention, be comprised in a kit further comprising a side arm tubing for the side arm and possibly a stopcock.

- 5 According to the third aspect of the invention there is provided a closure for a valve of a connector of a haemostatic valve assembly, the connector comprising a longitudinally extending main section having a longitudinally extending, through-going passage with the valve at a proximal end of the connector, the closure comprising a closure member, a face of which abuts a proximal end surface of the main section, one of said face and end surface
10 being provided with a protrusion for engaging a corresponding indentation provided in the other one of the face/surface. It will be appreciated that the protrusion and indentation provide a further sealing in comparison to the sealing provided by traditional, planar gaskets. In a preferred embodiment, the closure member is made from a resilient material which is adapted to deform in the area of the protrusion/indentation when the face of the resilient
15 closure and the end surface of the main section are biased towards each other. Thereby, a liquid tight seal is provided at the outer periphery of the passage at a proximal end thereof. Preferably, the protrusion and indentation extend over an angle of 360° , so that the seal is efficient along the entire end surface of the main section. The closure of the third aspect of the invention may be incorporated in or combined with any other feature of the other aspects
20 of the present invention. In particular, it is well suited for any connector described herein, and it may well be combined with the features of the fourth aspect of the invention.

- The fourth aspect of the invention provides a closure for a valve of a connector of a haemostatic valve assembly, the closure comprising a closure member which is made from a resilient material and which defines a first and a second end surface, which is opposed to the
25 first end surface, and at least one passage slit, the passage slit being normally closed and extending between the two end surfaces, the passage slit being arranged to open by a tubular member being extended therethrough, the passage slit having a length at the first surface which is longer than its length on the second surface. In other words, at one surface of the closure member, the transverse length of the slit is shorter than the transverse length
30 of the slit at the other surface of the closure member. Thereby, the slit defines a guide for the catheter or stent system when such a system is moved through the closure, the guide at least partially forcing the member being introduced through the closure into a particular angular alignment with respect to the closure. In a preferred embodiment, the closure is a gasket-like member made from a resilient or elastomeric material, such as silicone or latex.
35 The passage slit is preferably formed such that it defines a first axis of symmetry on the first surface which is aligned with a second axis of symmetry on the second surface, such that the catheter or stent system is held substantially perpendicular to the end surfaces of the closure

when extending therethrough. By providing a slit as explained above, the risk of improper alignment of the catheter or stent system and the closure is reduced and thereby also the risk of the catheter or stent system stretching/deforming the closure to such an extent in the area of the slit that a gap is created between an outer wall of the catheter or stent system and the closure.

In preferred embodiments of the fourth aspect of the invention, there are provided a plurality of passage slits, which define a first, common point of contact or point of intersection on the first surface, and which extend radially outwardly from the point of contact at the first surface. There may for example be provided three slits which extend radially from the point of contact, or there may be provided four slits which are arranged to form a cross. On the second, opposed surface, the slits preferably define a second, common point of contact, the slits preferably being substantially short at the second surface than at the first surface. Preferably, the length of each slit at the second surface is at most 1/10th of the length of that slit at the first surface, more preferably at most 1/20th. In an idealistic embodiment, the slits extend a length close to zero at the second surface, such that they meet in a point on the second surface, the point being preferably arranged centrally with respect to the surface.

In order to facilitate introduction of a catheter or stent system through the closure, at least a portion of one of the first and second end surfaces, such as preferably the second end surface, may have a concave shape.

When mounted in a connector, the second end surface is preferably oriented to face the proximal end of the connector.

The closure of the fourth aspect of the invention may be incorporated in or combined with any other feature of the other aspects of the present invention. In particular, it is well suited for any connector described herein, and it may well be combined with the features of the third aspect of the invention, preferred embodiments of closures embodying the third and fourth aspects of the invention providing a reliable peripheral and central/core sealing.

Generally, embodiments of the connectors of the present invention may be designed to fit a wide variety of stents, including, but not limited to, Strecker Stents, Palmaz Stents, Wallstents, self-expanding Nitinol Stents, such as Bard Luminox Stents, Symphony Stents, Smart Stents and AVE SE Stents, Perflex Stents, AVE Stents, Intrastents, Instents, Hercullink, and Dynalink. Likewise, the connectors of the present invention may be designed to fit a variety of catheters, including, but not limited to, Mainz balloon catheters, Monorail balloon catheters, PCTA catheters, and ultrasound catheters.

Brief description of the drawings

The above aspects of the invention will now be further described with reference to the drawings, in which:

Fig. 1 shows a longitudinal cross-section of a connector according to the invention,

5 Fig. 2 shows an exploded side view of the connector of Fig. 1 and an associated stopcock,

Fig. 3 shows a longitudinal cross-section of a valve incorporated in the connector of Figs. 1 and 2, the valve being in a closed state,

Fig. 4 shows the valve of Fig. 3 in an open state,

10 Fig. 5 shows a cross-section of the valve of Figs. 3 and 4, including an indicator for indicating a state of the valve,

Fig. 6 shows a longitudinal cross-section of a coloured member comprised in the indicator of the valve of Fig. 5.

Fig. 7 shows a longitudinal cross-section of an interconnection between a proximal and a distal part of the connector of Figs. 1 and 2,

15 Fig. 8 shows a longitudinal cross-section of a distal part of Fig. 7,

Fig. 9 shows a perspective view of the proximal part of Fig. 8,

Fig. 10 shows a main section of a connector with an indentation in an end surface thereof for providing a peripherally extending seal at a proximal end of the connector,

20 Fig. 11 shows an end view of a closure member for mounting at the proximal end of the connector of Fig. 10,

Fig. 12 is a cross-sectional view of one embodiment of the closure member of Fig. 11,

Fig. 13 is a cross-sectional view of an alternative embodiment of the closure member of Fig. 11.

Detailed description of the drawings

As it will be appreciated from the below description of a preferred embodiment of the invention, all of the above aspects of the invention may be comprised in a single embodiment.

5 A Y-connector 100, as shown in Figs. 1 and 2, comprises a proximal part 102 and a distal part 104, the proximal and the distal part co-extending in a longitudinal direction and being assembled in an end-to-end manner with a distal end portion 106 of the proximal part 102 being received in a proximal end portion 108 of the distal part 104. The distal part 104 is formed as a rotating luerlock with a first outer grooved or threaded portion 109, and a
10 second, inner threaded portion 111. Within each of the proximal and the distal part, there is provided a longitudinally extending, through-going passage 110 and 112, respectively. When assembled, the proximal and the distal part together define a main section 114. At the interconnection between the proximal and the distal part, the distal part defines a projecting portion 116, preferably a barbed portion, which projects radially inwardly and engages a
15 recessed portion 118 of the proximal part 102, the recessed portion 118 being in the form of a collar defined by a transition of the outer diameter of the proximal part 102. The interconnection will be further described below with reference to Figs. 7-9. The projecting portion 116 is shaped to provide a snap-locking of the distal part 104 onto the proximal part 102. When assembled, the proximal part and the distal part clamp an O-ring 120 between
20 them, the O-ring being provided at a reduced-diameter section of the proximal part and at a corresponding widened-diameter section of an inner surface of the distal part. Side arm 122 is provided for connecting the connector 100 to a manifold (not shown) used for pressure monitoring, contrast media injection and/or saline flushing. As shown in Fig. 2, the connection from side arm 122 to the manifold may be provided via a stopcock 124 and a side
25 arm tubing 126.

At its proximal end, the connector 100 of Fig. 1 comprises a valve 128 comprising an elastomeric closure member 130, a valve opener 132, and a puncture member 134 shaped to provide an elongate passage port which, in the closed state of the valve as depicted in Fig. 3, allows the closure member to seal the proximal end of the passage 110, whereas in the open
30 state of the valve, as depicted in Fig. 4, the puncture member penetrates the elastomeric closure member 130 to allow a catheter or a stent (not shown) to pass through the valve. The puncture member 134 is, as shown in Figs. 3 and 4 integral with the valve opener 132, which may be longitudinally displaced along an outer surface of the proximal part 102, as indicated by arrows 138 in Fig. 1, so that in a most proximal position of the valve opener, the
35 valve is in a closed state, as in Fig. 3, and in a most distal position of the valve opener, the

valve is in an open state, as in Fig. 4. An indicator for indicating the state of the valve comprises a coloured member 136, see Fig. 2.

Fig. 5 shows a cross-section of the valve of Figs. 3 and 4, including an indicator for indicating a state of the valve. The indicator comprises a coloured member 136 which may, e.g., the colour of which may, e.g., be yellow or any other strong colour. A most proximal section 140 of the valve opener 132 is opaque, whereas a distal portion 142 of the valve opener is transparent. Hence, when the valve is in a closed state as illustrated in Fig. 5, the coloured member 136 is visible through the transparent portion 142. However, when the valve is in an open state, i.e. when the valve opener 132 is displaced to the position illustrated in Fig. 4, the opaque section 140 of the valve opener overlaps the coloured member 136, which is then essentially invisible to an operator. If, for example, the coloured member 136 has a strong yellow colour, it will be immediately apparent to an operator when the valve is in its closed state, thereby clearly indicating that no attempts should be made to insert a catheter or a stent through the valve, whereas no yellow colour will be visible when the valve is in its open state, thereby clearly indicating that a catheter or a stent may be safely passed through the valve. It should be understood that the valve may alternatively be designed such that the coloured member is visible when the valve is in its open state and invisible in the closed state.

The coloured member 136 is shown in detail in Fig. 6, from which it is apparent that a projecting portion, such as preferably a barbed portion 144, allows the coloured member 136 to be connected to the proximal part 102 of the connector via a snap-lock made possible thanks to the barbed portion exhibiting a radial elasticity and substantially no longitudinal elasticity. The radial elasticity may be provided by longitudinally extending slits in the member 136, such slits being formed essentially like those slits 148 which are provided in the area of the barbed portion of the distal part 104 of the connector, see Fig. 9. As illustrated in Fig. 5, the proximal part 102 defines a recessed portion in the form of a collar 146, so that when the member 136 and the proximal part 102 are interconnected, the barbed portion firmly secures the member 136 in relation to the proximal part 102.

The interconnection between the distal and proximal parts 102 and 104, respectively, is based on the same principle as the interconnection between the coloured member 136 and the proximal part 102, as described above with reference to Figs. 5 and 6. Thus, as illustrated in Fig. 7, the proximal part 104 has a barbed portion 116 engaging a collar 118 of the proximal part 102. An annular space 150 is available for the O-ring which is not shown in Fig. 7, but which is designated by reference numeral 120 in Fig. 1. The distal part 104 is shown in isolation in Figs. 8 and 9, from which it is also apparent that a proximal end portion of the distal part comprises several barbed portions 116 arranged along the periphery of the

distal part 104 and with slits 148 therebetween, the slits providing a radial flexibility which allows the second part 104 with the barbed portions 116 to engage the collar 118 of the proximal part 102 in a snap-locking manner.

5 Preferred embodiments of the third and fourth aspects of the invention will now be further described with reference to Figs. 10-13, in which elements discussed above in connection with Figs. 1-9 are referred to by the same reference numerals to the extent that like parts are referred to. It should, however, be understood that structural differences may exist, despite of the fact that the same reference numerals are employed.

10 The main section 114 shown in Fig. 10 has a proximal end surface 152 defining an indentation in the form of a groove 154. The groove is intended to receive an annular protrusion 158 provided on the closure member 130, see Figs. 11-13. When the closure member 130 is biased towards the surface 152 of the main section 114, the protrusion 158 deforms in the groove 154, so that a reliable seal is provided along the end surface 152. The closure 130 is provided with three slits which are referred to by reference numeral 162 in the
15 embodiment of Fig. 12 and reference numeral 164 in the embodiment of Fig. 13. The slits 162, 164 extend radially outwardly from a first, common point of contact 166 provided at a first surface 156 of the closure member 130. In the embodiment of Fig. 12, the slits 162 have a length at the first surface 156 which is substantially equal to their length at a second surface 160 of the closure member. In the embodiment of Fig. 13, the slits 164, however,
20 have a length at the second surface 160 which is close to zero, i.e. the three slits 164 meet in a single, second point of contact 168. In both embodiments, a concave portion 170 is provided to facilitate insertion of a catheter or stent system through the closure member.

The operation of the embodiment of the connector 100 described above with reference to the drawings is as follows:

- 25 1. A manifold (not shown) is attached to the side arm 122 of the connector.
2. The distal end of the connector is connected to a proximal end of a guiding catheter (not shown).
3. The connector is flushed with saline to remove air bubbles. Flushing of the valve 128 is achieved when the valve is in its open state.
- 30 4. A pressure/infusion device (not shown) is attached to the manifold. In order to avoid air aspiration, it should be assured that all connections are secure.
5. The guiding catheter is introduced, following a guiding catheter introduction procedure which is usually recommended by a manufacturer of the guiding catheter.
6. A guide wire (not shown), or a guide wire and a dilatation catheter (not shown) is/are
35 introduced into the connector. A metal guide wire insertion tool (not shown) should be used

when the guide wire is inserted alone to protect a top of the guide wire. A PTCA dilatation catheter can be inserted alone without opening the valve. However, the valve should be opened using the valve opener 132 for any device larger than a dilatation catheter, such as a stent, ultrasound catheter, etc.

- 5 7. Any procedure devised by the catheter or stent manufacturer is then followed.

The dimensions and other specifications of a preferred embodiment of the connector 100 are as follows:

	Inner diameter of narrowest portion:	2.4 mm - 9.0 mm.
10	Maximum diameter of device to be inserted:	2.33 mm - 8.0 mm.
	Minimum diameter of device to be inserted:	0.17 mm - 1.10 mm.
	Maximum pressure resistance with PTCA catheter and guide wire:	8 Atm or with Percutan graft 1 atm.
	Maxim pressure resistance without device:	21 Atm - 2 atm
15	Metallic insertion tool length:	10 cm - 2 cm
	Metallic insertion tool inner diameter:	0.64 mm - 2.00 mm.

The number of the interval mentioned first refers to PTCA and the second number of the interval refers to AAA graft (Percutaneous-Abdominal Aortic Aneurysm stent graft).

CLAIMS

1. A connector for a haemostatic valve assembly, comprising a longitudinally extending main section having a proximal part and a distal part, each of said parts having a longitudinally extending, through-going passage, the connector further comprising connection means for providing a connection between the proximal part and the distal part, whereby, when interconnected, the distal and proximal parts coextend in the longitudinal direction, said connection means comprising a projecting portion which is integral with one of said parts and which is adapted to engage a recessed portion of the other one of said parts, so as to mutually secure the parts in the longitudinal direction.
2. A connector according to claim 1, wherein said projecting portion is a barbed portion.
3. A connector according to claim 1 or 2, wherein the connection means provide a snap lock between said parts.
4. A connector according to claim 2 or 3, wherein an end portion of the distal part is adapted to receive an end portion of the proximal part, the barbed portion being provided at said end portion of the distal part, the recessed portion comprising a collar portion provided on an outer surface of the proximal part.
5. A connector according to claim 4, wherein the barbed portion is formed by an outer wall of the distal part.
6. A connector according to any of claims 1-3, wherein an end portion of the proximal part is adapted to receive an end portion of the distal part, the barbed projecting portion being provided at said end portion of the proximal part, the recessed portion comprising a collar portion provided on an outer surface of the distal part.
7. A connector according to claim 6, wherein the barbed portion is formed by an outer wall of the proximal part.
8. A connector according to any of the preceding claims, further comprising a sealing means for providing a liquid-tight seal at the interconnection.
9. A connector according to claim 8, wherein the seal comprises a resilient member, and wherein the proximal and distal parts, when interconnected, are arranged to clamp the resilient member between them.

10. A connector according to any of claims 1-9, wherein the interconnection is such that the distal part and the proximal part may rotate relative to each other around an axis extending in the longitudinal direction.
- 5 11. A connector according to claim 10, wherein the distal member constitutes a rotatable luer.
12. A connector according to any of claims 1-11, wherein an outer wall of the distal part is provided with a first threaded or grooved portion.
13. A connector according to any of claims 1-12, wherein an inner wall of the distal part is provided with a second threaded portion.
- 10 14. A connector according to any of claims 1-13, comprising a side arm for connecting the connector to a manifold.
15. A kit comprising a connector according claim 14, and a side arm tubing for the side arm of the connector.
- 15 16. A kit according to claim 15, further comprising a stopcock to be connected to one end of the side arm tubing.
- 20 17. A method for manufacturing a connector for a haemostatic valve assembly, comprising a longitudinally extending main section having a proximal part and a distal part, each of said parts having a longitudinally extending, through-going passage, the connector further comprising connection means for providing a connection between the proximal part and the distal part, whereby, when interconnected, the distal and proximal parts coextend in the longitudinal direction, said connection means comprising a projecting portion which is integral with one of said parts and which is adapted to engage a recessed portion of the other one of said parts, the method comprising the step of providing a snap-lock between the projecting portion and the recessed portion for mutually securing the parts in the longitudinal direction.
- 25 18. A method according to claim 17, comprising injection moulding the distal and proximal parts from a plastics material.
19. Use of a snap-lock as an interconnection between a longitudinally extending proximal part and a longitudinally extending distal part of a main section of a connector for a haemostatic valve assembly, each of said parts having a longitudinally extending, through-

going passage, the snap-lock comprising a projecting portion which is integral with one of said parts and which is adapted to engage a recessed portion of the other one of said parts, so as to mutually secure the parts in the longitudinal direction.

5 20. A connector for a haemostatic valve assembly, comprising a longitudinally extending main section having a longitudinally extending, through-going passage and a valve at a proximal end of the connector, the valve having an open state in which an elongate member may be inserted into the passage, and a closed state, the valve comprising an indicator for indicating the state of the valve.

10 21. A connector according to claim 20, wherein the valve comprises a valve opener which is longitudinally displaceable along an outer surface of the main section of the connector, such that the state of the valve may be changed by displacing the valve opener in relation to the main section.

15 22. A connector according to claim 21, wherein the indicator comprises optical means for providing an optical appearance of at least a part of the connector in the open state which is different from an optical appearance of that part of the connector in the closed state.

20 23. A connector according to claim 21 or 22, wherein the valve further comprises an elastomeric closure member arranged to seal the proximal end of the connector in the closed state of the valve, and wherein the valve opener comprises a puncture member which extends co-axially with and at least partly inside said passage, and which is arranged such with respect to the closure member that it penetrates the closure member in the open state of the valve, the elastomeric closure member thereby closing about an outer surface of the puncture member, and such that it does not penetrate the closure member in the closed state of the valve.

25 24. A connector according to any of claims 21-23, wherein the valve opener comprises a transparent portion and an opaque portion, and wherein the main section of the connector, at a proximal end thereof, comprises a coloured section which is covered by the opaque portion of the valve opener when the valve is in the open state, and which is visible through the transparent section when the valve is in the closed state.

30 25. A connector according to claim 24, wherein the coloured section is comprised in a coloured member which is connected to the main section via a connection having a projecting portion which is integral with one of said sections and adapted to engage a recessed portion of the other one of said sections, so as to mutually secure the sections in the longitudinal direction.

26. A connector according to any of claims 20-25, comprising a side arm for connecting the connector to a manifold.
27. A kit comprising a connector according claim 26, and a side arm tubing for the side arm of the connector.
- 5 28. A kit according to claim 27, further comprising a stopcock to be connected to one end of the side arm tubing.
29. Use of an indicator for indicating a closed or open state of a haemostatic valve integrated in a connector of a haemostatic valve assembly.
- 10 30. Use according to claim 29, wherein optical means are employed for providing an optical appearance of at least a part of the connector in the open state which is different from an optical appearance of that part of the connector in the closed state.
- 15 31. A closure for a valve of a connector of a haemostatic valve assembly, the connector comprising a longitudinally extending main section having a longitudinally extending, through-going passage with the valve at a proximal end of the connector, the closure comprising a closure member, a face of which abuts a proximal end surface of the main section, one of said face and end surface being provided with a protrusion for engaging a corresponding indentation provided in the other one of said face and said end surface.
- 20 32. A closure according to claim 31, wherein the closure member is made from a resilient material which is adapted to deform in the area of said protrusion and said indentation when said face and said end surface are biased towards each other, so as to thereby provide a liquid tight seal near an outer periphery of the passage at a proximal end thereof.
33. A connector for a haemostatic valve assembly and comprising a closure according to claim 31.
- 25 34. A closure for a valve of a connector of a haemostatic valve assembly, the closure comprising a closure member which is made from a resilient material and which defines a first and a second, opposite end surface and at least one passage slit, the passage slit being normally closed and extending between the two end surfaces, the passage slit being arranged to open by a tubular member being extended therethrough, the passage slit having a length at the first surface which is longer than its length on the second surface.

35. A closure according to claim 34, comprising a plurality of passage slits which define a first, common point of contact on the first surface and which extend radially outwardly from the point of contact at the first surface.
36. A closure according to claim 35, wherein the plurality of passage slits define a second,
5 common point of contact on the second surface.
37. A closure according to claim 34, wherein at least one of the passage slits has a length on the second surface which is at most 1/10th of the length of that passage slit on the first surface.
38. A closure according to claim 34, wherein at least a portion of the first end surface and at
10 least a portion of the second end surface define two substantially parallel planes, and wherein an axis extending between the first and second common point of contact is substantially perpendicular to the two planes.
39. A closure according to claim 34, wherein at least a portion of one of the first and second end surfaces is concave.
40. A closure according to claim 39, wherein said concave portion is provided on the second
15 surface.
41. A connector (100) for a haemostatic valve assembly and comprising a closure according to any of claims 34-40, the valve (128) with the closure being arranged near a proximal end of the connector.
42. A connector according to claim 41, wherein the second surface (160) of the closure is
20 oriented to face the proximal end of the connector.

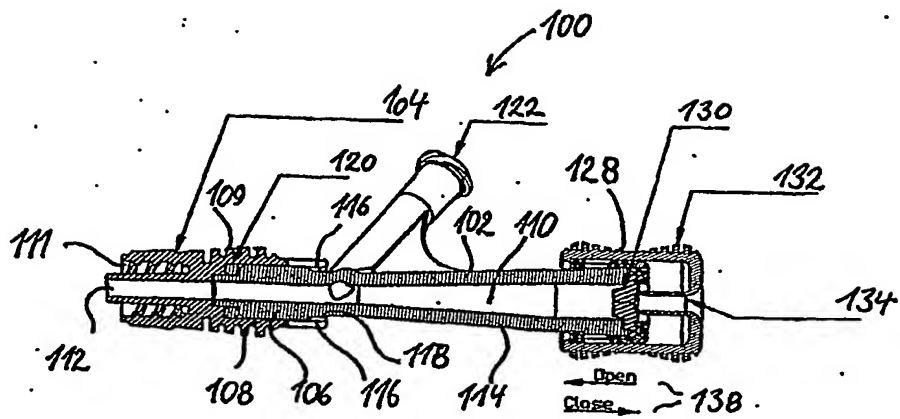


Fig. 1

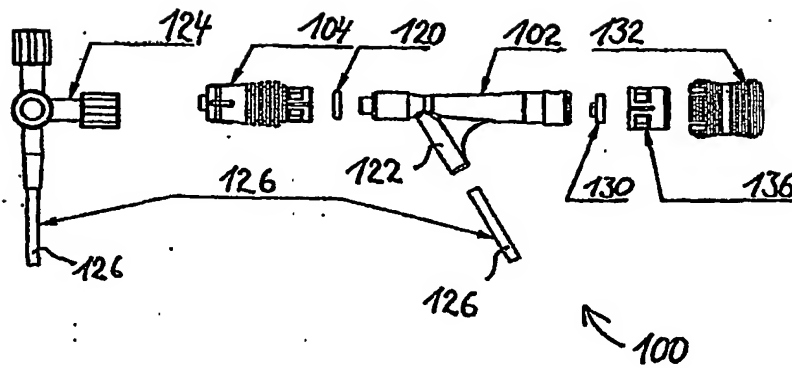


Fig. 2

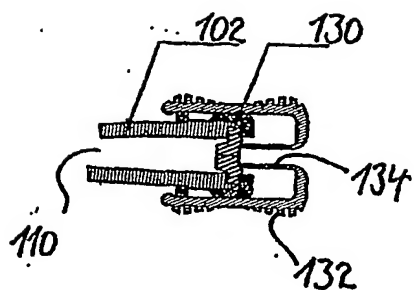


Fig. 3

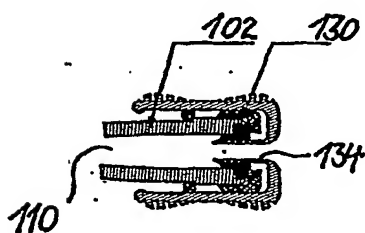


Fig. 4

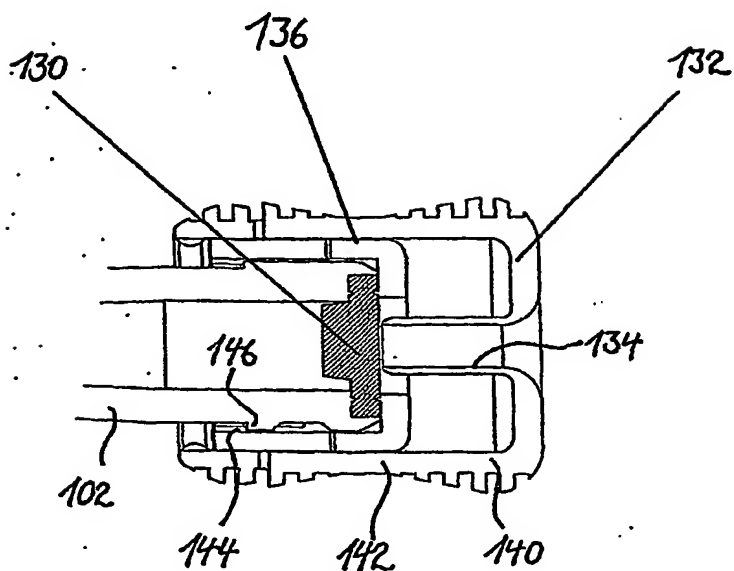


Fig. 5

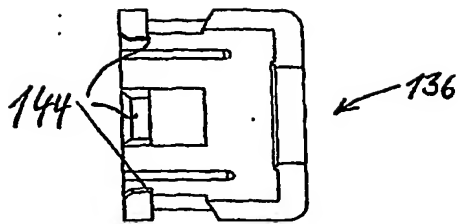


Fig. 6

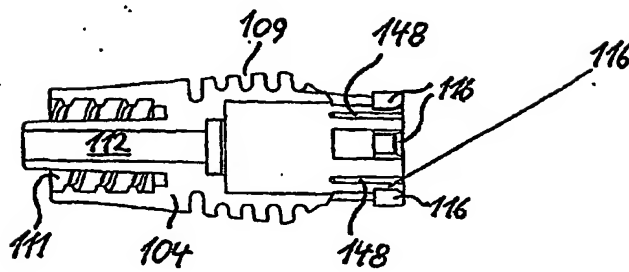


Fig. 7

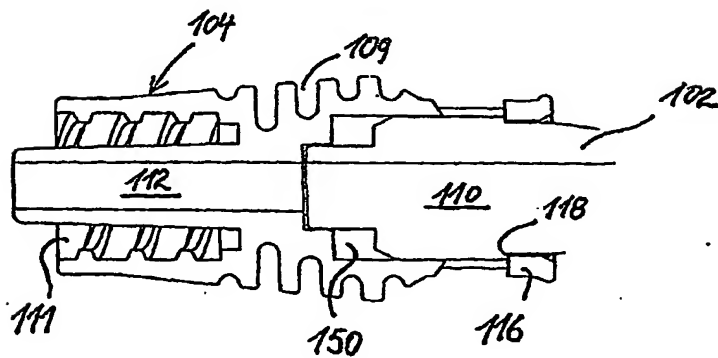


Fig. 8

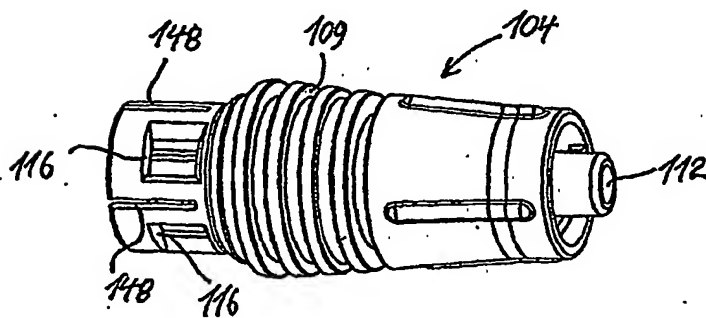


Fig. 9

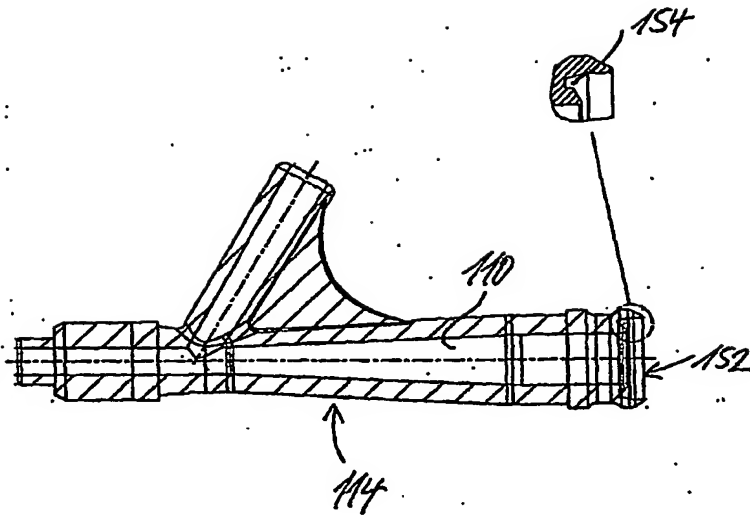


Fig. 10

A-A

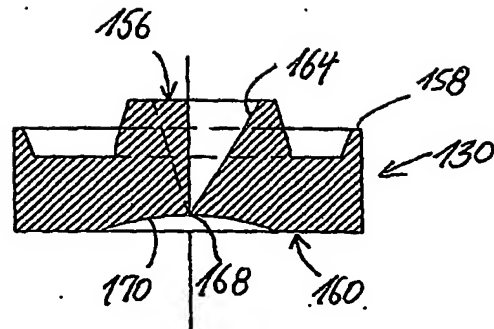


Fig. 13

A-A

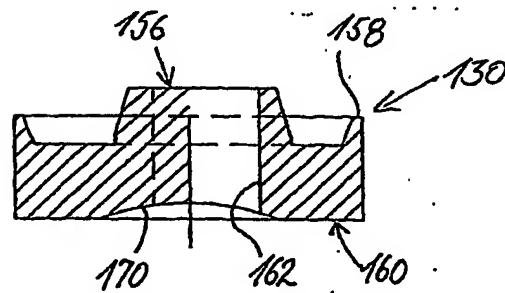


Fig. 12

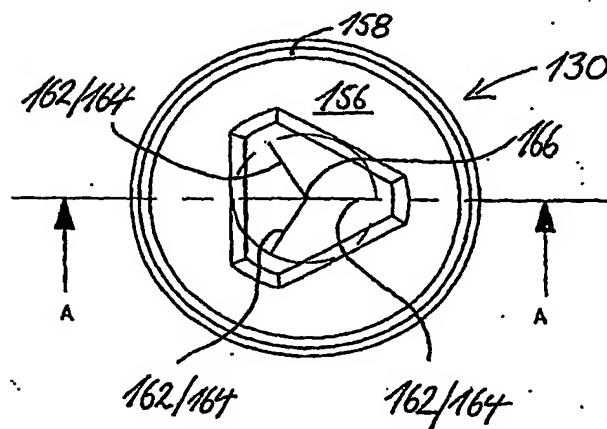


Fig. 11

**This Page is Inserted by IFW Indexing and Scanning
Operations and is not part of the Official Record**

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☐ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☒ **FADED TEXT OR DRAWING**
- ☒ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☒ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☒ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** _____

IMAGES ARE BEST AVAILABLE COPY.

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.